SEC Form 4

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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| OMB Number: | 3235-0287 | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|
| Estimated average burden | | | | | | | |
| hours per response | : 0.5 | | | | | | |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] <u>RYAN ARTHUR F</u> | | | | 2. Issuer Name and Ticker or Trading Symbol <u>REGENERON PHARMACEUTICALS</u> , <u>INC.</u> [REGN] | | | | | | elationship of Reporti eck all applicable) X Director Officer (give title | 10% (| Person(s) to Issuer 10% Owner Other (specify | |
|--|---|-------------------------------------|--|---|---|------|--|---------------|---|--|---|---|--|
| (Last) (First) (Middle) 777 OLD SAW MILL RIVER ROAD | | | | 3. Date of Earliest Transaction (Month/Day/Year) 03/30/2020 | | | | | | below) | below | | |
| (Street) TARRYTOWN NY 10591 (City) (State) (Zip) | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| | | | Non-Derivat | ive Securities A | cauir | ed D | isnosed | of or l | Beneficia | lly Owned | | | |
| 1. Title of Security (Instr. 3) | | 2. Transacti Date (Month/Day) | | 2A. Deemed Execution Date, | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 | | d (A) or | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | |
| | | | | | Code | v | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | (Instr. 4) | |
| Common Stoc | k | | 03/30/2020 |) | S ⁽¹⁾ | | 2 | D | \$462.8(2 | ⁾ 29,141 | D | | |
| Common Stocl | k | | 03/30/2020 |) | S ⁽¹⁾ | | 3 | D | \$463.16 | ³⁾ 29,138 | D | | |
| Common Stocl | k | | 03/30/2020 |) | S ⁽¹⁾ | | 9 | D | \$464.49 | ⁴⁾ 29,129 | D | | |
| Common Stocl | k | | 03/30/2020 |) | S ⁽¹⁾ | | 16 | D | \$465.35 | ⁵⁾ 29,113 | D | | |
| Common Stocl | k | | 03/30/2020 |) | S ⁽¹⁾ | | 23 | D | \$466.6 ⁽⁶ |) 29,090 | D | | |
| Common Stocl | k | | 03/30/2020 |) | S ⁽¹⁾ | | 19 | D | \$467.51 | ⁷⁾ 29,07 1 | D | | |
| Common Stocl | k | | 03/30/2020 |) | S ⁽¹⁾ | | 24 | D | \$468.14 | ⁸⁾ 29,047 | D | | |
| Common Stocl | k | | 03/30/2020 |) | S ⁽¹⁾ | | 4 | D | \$469.49 | 29,043 | D | | |
| Common Stocl | k | | 04/01/2020 |) | S ⁽¹⁾ | | 2 | D | \$477.58 | ⁹⁾ 29,04 1 | D | | |
| Common Stoc | k | | 04/01/2020 |) | S ⁽¹⁾ | | 4 | D | \$478.45 | ¹⁰⁾ 29,037 | D | | |
| Common Stoc | k | | 04/01/2020 |) | S ⁽¹⁾ | | 18 | D | \$479.6(1 | ¹⁾ 29,019 | D | | |
| Common Stocl | k | | 04/01/2020 |) | S ⁽¹⁾ | | 8 | D | \$480.55 | ⁽²⁾ 29,011 | D | | |
| Common Stocl | k | | 04/01/2020 |) | S ⁽¹⁾ | | 4 | D | \$481.34 | ⁽³⁾ 29,007 | D | | |
| Common Stocl | k | | 04/01/2020 |) | S ⁽¹⁾ | | 14 | D | \$482.43 | ¹⁴⁾ 28,993 | D | | |
| Common Stocl | k | | 04/01/2020 |) | S ⁽¹⁾ | | 11 | D | \$483.49 | ⁽⁵⁾ 28,982 | D | | |
| Common Stocl | k | | 04/01/2020 |) | S ⁽¹⁾ | | 8 | D | \$484.54 | ¹⁶⁾ 28,974 | D | | |
| Common Stocl | k | | 04/01/2020 |) | S ⁽¹⁾ | | 3 | D | \$485.65 | 28,971 | D | | |
| Common Stocl | k | | 04/01/2020 |) | S ⁽¹⁾ | | 4 | D | \$486.53 | ⁽⁸⁾ 28,967 | D | | |
| Common Stocl | k | | 04/01/2020 |) | S ⁽¹⁾ | | 1 | D | \$487.54 | 28,966 | D | | |
| Common Stocl | k | | 04/01/2020 |) | S ⁽¹⁾ | | 10 | D | \$488.62 | ⁽⁹⁾ 28,956 | D | | |
| Common Stoc | k | | 04/01/2020 |) | S ⁽¹⁾ | | 5 | D | \$489.56 | ²⁰⁾ 28,951 | D | | |
| Common Stocl | k | | 04/01/2020 |) | S ⁽¹⁾ | | 1 | D | \$490.35 | 6 28,950 | D | | |
| Common Stoc | k | | 04/01/2020 |) | S ⁽¹⁾ | | 2 | D | \$491.13 | 21) 28,948 | D | | |
| Common Stocl | k | | 04/01/2020 |) | S ⁽¹⁾ | | 3 | D | \$492.71 ⁽² | 22) 28,945 | D | | |
| Common Stoc | k | | 04/01/2020 |) | S ⁽¹⁾ | | 1 | D | \$493.35 | 5 28,944 | D | | |
| Common Stocl | k | | 04/01/2020 |) | S ⁽¹⁾ | | 1 | D | \$495.66 | 6 28,943 | D | | |

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Tal Date (Month/Day/Year) | per Per Defivati Execution Date, if any (e.g., pu -(Month/Day/Year)- | Ve Securit Transaction tSyde Calls, V 8) | ies Acciu of Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | ifern Trepesellauf, (Expiration Date Optionsy/convertib | | 7. Bitmeficial Amount of Generalities Underlying Derivative Security (Instr. 3 and 4) | B Diverse Derivative Security (Instr. 5) | 19. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|---|--|---|---|--|----------------------------|---|---|---|---|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities | 6. Date Exercisab Expiration Date Month/Day/Year Exercisable | ole and piration te | Amount 7. Title and Amount of ber Securities Undertymg | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially | 10. Ownership Form: Direct (D) | 11. Nature of Indirect Beneficial Ownership |
| Disposition Represents | volume-weigh | ade pursuant to a planted average price of | n intended to comply sales of 2 shares of C | ompany stock o | of (D) n(March 30, 1 | 2020 at prices rangin | ng from \$4 | Derivative Security (Instr. 3 and 4) 462.71 to \$462.89. | Upon reques | Owned Following Reported Transaction(s) | or Indirect (I) (Instr. 4) | (Instr. 4) Company, |
| Represents volume-weighted average price of sales of 2 shares of Company stock on Might h 39, 2020 at prices ranging from \$462.71 to \$462.89. Upon request Transaction 2 of a security holder of the Company, the reporting person will provide full information and the number of shares sold by the reporting person on March 30, 2020 at each separate price. Represents volume-weighted average price of sales of 3 shares of Company stock on March 30, 2020 at prices ranging from \$463.09 to \$463.30. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on March 30, 2020 at each separate price. Represents volume-weighted average price of sales of 3 shares of Company stock on March 30, 2020 at prices ranging from \$463.09 to \$463.30. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on March 30, 2020 at each separate price. Represents volume-weighted average price of sales of 9 shares of Company stock on March 30, 2020 at prices ranging from \$464.14 to \$500 to \$600 to \$600 | | | | | | | | | | | | |
| 5. Represents or a security | volume-weigh holder of the C | nted average price of ompany, the reporting | sales of 16 shares of 0 g person will provide | Company Stock full information | of March 80, 1 regarding th | Exercisable s range 2020 at prices range the number of shares | ing from sold by the | \$ Title .00 Shares .99 e reporting person | 9. Upon reque on March 30 | est by the Commis , 2020 at each sep | sion staff, the arate price. | 1 57 📑 |
| Represents volume-weighted average price of sales of 23 shares of Company stock on March 30, 2020 at prices ranging from \$466.05 to \$466.95. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on March 30, 2020 at each separate price. Represents volume-weighted average price of sales of 19 shares of Company stock on March 30, 2020 at prices ranging from \$467.00 to \$467.89. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on March 30, 2020 at each separate price. | | | | | | | | | | | | |
| 8. Represents or a security | volume-weigh holder of the C | nted average price of sompany, the reporting | sales of 24 shares of 0 g person will provide | Company stock full information | on March 30, 1 regarding th | 2020 at prices rang e number of shares | ging from S sold by the | \$468.00 to \$468.50 e reporting person | 5. Upon reque on March 30 | est by the Commis , 2020 at each sepa | sion staff, the arate price. | |
| 9. Represents volume-weighted average price of sales of 2 shares of Company stock on April 1, 2020 at prices ranging from \$477.55 to \$477.60. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on April 1, 2020 at each separate price. 10. Represents volume-weighted average price of sales of 4 shares of Company stock on April 1, 2020 at prices ranging from \$478.12 to \$478.85. Upon request by the Commission staff, the Company, or | | | | | | | | | | | | |
| 11. Represent | s volume-weig | ghted average price of | erson will provide fu f sales of 18 shares of erson will provide fu | Company stock | on April 1, 2 | 2020 at prices rangi | ng from \$4 | 479.23 to \$479.98. | Upon reques | t by the Commissi | on staff, the C | company, or |
| 12. Represen | ts volume-weig | ghted average price of | f sales of 8 shares of 0 erson will provide fu | Company stock | on April 1, 2 | 020 at prices ranging | g from \$48 | 80.16 to \$480.99.1 | Upon request | by the Commissio | n staff, the Co | mpany, or |
| a security hol | der of the Con | pany, the reporting p | f sales of 4 shares of 0 erson will provide fu | ll information re | egarding the r | number of shares sol | ld by the re | eporting person on | Åpril 1, 202 | 0 at each separate | price. | 1 57 |
| or a security | holder of the Č | ompany, the reporting | f sales of 14 shares of g person will provide f sales of 11 shares of | full information | n regarding th | e number of shares | sold by the | e reporting person | on April 1, 2 | 020 at each separa | te price. | 1 57 |
| a security hol | der of the Con | pany, the reporting p | erson will provide fu f sales of 8 shares of 0 | ll information re | garding the r | number of shares sol | ld by the re | eporting person on | April 1, 202 | 0 at each separate | price. | 1 57 |
| 17. Represen | ts volume-weig | ghted average price of | erson will provide full factors of 3 shares of 3 share | Company stock | on April 1, 2 | 020 at prices ranging | g from \$48 | 85.60 to \$485.70.1 | Upon request | by the Commissio | n staff, the Co | ompany, or |
| 18. Represen | ts volume-weig | ghted average price of | erson will provide fu f sales of 4 shares of 0 erson will provide fu | Company stock | on April 1, 20 | 020 at prices ranging | g from \$48 | 86.27 to \$486.71.1 | Upon request | by the Commissio | n staff, the Co | mpany, or |
| 19. Represen | ts volume-weig | ghted average price of | f sales of 10 shares of g person will provide | Company stock | c on April 1, 2 | 2020 at prices rangi | ng from \$4 | 488.06 to \$488.99. | Upon reques | t by the Commissi | ion staff, the C | Company, |
| a security hol | der of the Con | npany, the reporting p | f sales of 5 shares of 0 erson will provide fu | ll information re | egarding the r | number of shares sol | ld by the re | eporting person on | April 1, 202 | 0 at each separate | price. | |
| a security hol | der of the Con | pany, the reporting p | f sales of 2 shares of 0 erson will provide fu f sales of 3 shares of 0 | ll information re | egarding the r | number of shares sol | ld by the re | eporting person on | Åpril 1, 202 | 0 at each separate | price. | |
| | | | erson will provide fu | | | | ld by the re | | Åpril 1, 202 | | price. | mpany, or |

/s/**Arthur F. Ryan

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.