SEC Form 4

Common Stock

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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OMB Number:	3235-0287
Estimated average bur	den
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FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>YANCOPOULOS GEORGE</u>				<u>REG</u>	er Name and Ticke ENERON PI					5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owne			
(Last) (First) (Middle) 777 OLD SAW MILL RIVER ROAD					of Earliest Transac (2020	ction (M	lonth/[Day/Year)	X	Officer (give title below) President and	below		
(Street) TARRYTOWN (City)	NY (State)		4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Indi Line) X	,			
Table I - Non-Deriv 1. Title of Security (Instr. 3) 2. Transa Date (Month/D					2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa	d, Disposed of, or Benefi saction e (Instr. d. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4)			(A) or	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
					(Month/Day/rear)	o) Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(i) (insu: 4)	(Instr. 4)
Common Stock			12/30/2	020		М		240,000	A	\$52.03	880,938	D	
Common Stock 12/30				020		F		130,714	D	\$483.25	750,224	D	

									_		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned											

М

F

G v 158.079

86,096

57,465

Α

D

D

\$52.03

\$483.25

\$<mark>0.0</mark>

908,303

822,207

0

5,821

376,861

D

D

I

I

T

by 2018

GRAT By 401(k)

Plan by 2019

GRAT

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Deri Sec Acq or D of (I	umber of vative urities uired (A) visposed D) (Instr. and 5)	6. Date Exerc Expiration Da (Month/Day/)	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Non- Qualified Stock Option (right to buy)	\$52.03	12/30/2020		М			240,000	(1)	12/16/2021	Common Stock	240,000	\$0.0	158,079	D	
Non- Qualified Stock Option (right to buy)	\$52.03	12/30/2020		М			158,079	(1)	12/16/2021	Common Stock	158,079	\$0.0	0	D	

Explanation of Responses:

1. With respect to 240,000 underlying shares (of which 0 remain outstanding), the option became exercisable on December 31, 2014, based upon the satisfaction by the company of certain performance criteria during the period ended December 31, 2014. With respect to 158,079 underlying shares (of which 0 remain outstanding), the stock option award vested in four equal annual installments, commencing one year after the date of grant.

> /s/**George D. Yancopoulos ** Signature of Reporting Person Date

12/31/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

12/30/2020

12/30/2020

07/27/2020

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.